

UTILITY
PATENT APPLICATION
TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b)

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents

Attorney Docket No. 201-0924 (15842)
First Inventor or Application Identifier Jack L. Auflick et al.
Title Track Access Management for Large Playlists in a Vehicular
Multimedia Player
Express Mail Label No. EV 004296442 US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ *Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
(See 37 CFR 1.27)
3. ☒ Specification [Total Pages 14]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

☒ Drawing(s) (35 USC 113) [Total Sheets 5]

Oath or Declaration [Total Pages 2]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
[Note Box 5 below]

DELETION OF INVENTORS

- i. ☐ Signed statement attached deleting inventor(s) named in the prior application, see CFR §§ 1.63(d)(2) and 1.33(b).

☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & documents)
10. ☐ 37 CFR §3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable).
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Request and Certificate under 35 U.S.C. 122(b)(2)(B)(i).
Applicant must attach Form PTO/SB/35 or its equivalent
17. ☐ Other: _____

If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No. _____ / _____
Prior application information: Examiner _____ Group/Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label or ☐ Correspondence address below



27378

PATENT TRADEMARK OFFICE

Name	Mark L. Mollon, Esq.		
Address			
City		Zip Code	
Country	Telephone	734/542-0900	Fax 734/542-9569
Name (print/type)	Mark L. Mollon		Registration No. (Attorney/Agent) 31,123
Signature			Date February 14, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231
c:\2072\15842.sb05.doc

Certificate of Mailing by Express Mail

"Express Mail" Mailing Label No. EV 004296442 US Date of Deposit February 14, 2002. I hereby certify that this paper or fee is being deposited in the United States "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Terri L. Fox

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL		Complete if known	
For FY 2002		Application Number	
Patent fees are subject to annual revision.		Filing Date	
		First Named Inventor	Jack L. Auflick et al.
		Examiner Name	
		Group/Art Unit	
TOTAL AMOUNT OF PAYMENT	(\$ 780)	Attorney Docket No.	201-0924 (15842)

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																																
<p>1. <input checked="" type="checkbox"/> The commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Acct. No. <u>50-0567</u></p> <p>Deposit Acct. Name <u>MacMillan, Sobanski, & Todd, LLC</u></p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	<p>3. ADDITIONAL FEES</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Fee Code</th> <th style="text-align: left;">Entity Fee (\$)</th> <th style="text-align: left;">Small Fee Code</th> <th style="text-align: left;">Entity Fee (\$)</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: left;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting a publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within 1st month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within 2nd month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within 3rd month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within 4th month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within 5th month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>40</td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370</td><td>Request for Continued Examination</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="6" style="padding: 5px;">Other fee (specify) _____</td></tr> <tr> <td colspan="4" style="text-align: right; padding: 5px;">*Reduced by Basic Filing Fee Paid</td> <td colspan="2" style="text-align: right; padding: 5px;">SUBTOTAL (3)</td> </tr> <tr> <td colspan="4"></td> <td style="text-align: right; padding: 5px;">\$</td> <td style="text-align: right; padding: 5px;">40</td> </tr> </tbody> </table>	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting a publication of SIR after Examiner action		115	110	215	55	Extension for reply within 1 st month		116	400	216	200	Extension for reply within 2 nd month		117	920	217	460	Extension for reply within 3 rd month		118	1,440	218	720	Extension for reply within 4 th month		128	1,960	228	980	Extension for reply within 5 th month		119	320	219	160	Notice of Appeal		120	320	220	160	Filing a brief in support of an appeal		121	280	221	140	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,280	241	640	Petition to revive - unintentional		142	1,280	242	640	Utility issue fee (or reissue)		143	460	243	230	Design issue fee		144	620	244	310	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	180	126	180	Submission of Information Disclosure Statement		581	40	581	40	Recording each patent assignment per property (times number of properties)	40	146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))		149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))		179	740	279	370	Request for Continued Examination		169	900	169	900	Request for expedited examination of a design application		Other fee (specify) _____						*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)						\$	40
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																												
105	130	205	65	Surcharge - late filing fee or oath																																																																																																																																																																																													
127	50	227	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																													
139	130	139	130	Non-English specification																																																																																																																																																																																													
147	2,520	147	2,520	For filing a request for reexamination																																																																																																																																																																																													
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																													
113	1,840*	113	1,840*	Requesting a publication of SIR after Examiner action																																																																																																																																																																																													
115	110	215	55	Extension for reply within 1 st month																																																																																																																																																																																													
116	400	216	200	Extension for reply within 2 nd month																																																																																																																																																																																													
117	920	217	460	Extension for reply within 3 rd month																																																																																																																																																																																													
118	1,440	218	720	Extension for reply within 4 th month																																																																																																																																																																																													
128	1,960	228	980	Extension for reply within 5 th month																																																																																																																																																																																													
119	320	219	160	Notice of Appeal																																																																																																																																																																																													
120	320	220	160	Filing a brief in support of an appeal																																																																																																																																																																																													
121	280	221	140	Request for oral hearing																																																																																																																																																																																													
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																																													
140	110	240	55	Petition to revive - unavoidable																																																																																																																																																																																													
141	1,280	241	640	Petition to revive - unintentional																																																																																																																																																																																													
142	1,280	242	640	Utility issue fee (or reissue)																																																																																																																																																																																													
143	460	243	230	Design issue fee																																																																																																																																																																																													
144	620	244	310	Plant issue fee																																																																																																																																																																																													
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																													
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																																													
126	180	126	180	Submission of Information Disclosure Statement																																																																																																																																																																																													
581	40	581	40	Recording each patent assignment per property (times number of properties)	40																																																																																																																																																																																												
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																													
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																													
179	740	279	370	Request for Continued Examination																																																																																																																																																																																													
169	900	169	900	Request for expedited examination of a design application																																																																																																																																																																																													
Other fee (specify) _____																																																																																																																																																																																																	
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)																																																																																																																																																																																													
				\$	40																																																																																																																																																																																												
<p>FEE CALCULATION</p> <p>FILING FEE</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Fee Code</th> <th style="text-align: left;">Entity Fee (\$)</th> <th style="text-align: left;">Small Fee Code</th> <th style="text-align: left;">Entity Fee (\$)</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: left;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>740</td><td>201</td><td>370</td><td>Utility filing fee</td><td>740</td></tr> <tr><td>106</td><td>330</td><td>206</td><td>165</td><td>Design filing fee</td><td></td></tr> <tr><td>107</td><td>510</td><td>207</td><td>255</td><td>Plant filing fee</td><td></td></tr> <tr><td>108</td><td>740</td><td>208</td><td>370</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114</td><td>160</td><td>214</td><td>80</td><td>Provisional filing fee</td><td></td></tr> <tr><td colspan="5" style="padding: 5px;">SUBTOTAL (1)</td><td style="text-align: right; padding: 5px;">\$ 740</td></tr> </tbody> </table> <p>CLAIMS</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Total Claims</th> <th style="text-align: left;">Extra</th> <th style="text-align: left;">Fee from Below</th> <th style="text-align: left;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>14</td> <td>- 20** =</td> <td>x 18/9 =</td> <td>0</td> </tr> <tr> <td>Independent Claims</td> <td>2</td> <td>3** =</td> <td>x 84/42 = 0</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td>= 280/140</td> </tr> </tbody> </table> <p>**or # previously paid, if greater; for Reissues, see below</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Fee Code</th> <th style="text-align: left;">Entity Fee (\$)</th> <th style="text-align: left;">Small Fee Code</th> <th style="text-align: left;">Entity Fee (\$)</th> <th style="text-align: left;">Fee Description</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td></tr> <tr><td>102</td><td>84</td><td>202</td><td>42</td><td>Independent claims in excess of 3</td></tr> <tr><td>104</td><td>280</td><td>204</td><td>140</td><td>Multiple dependent claim, if not paid</td></tr> <tr><td>109</td><td>84</td><td>209</td><td>42</td><td>**Reissue independent claims over original patent</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>**Reissue claims in excess of 20 and over original patent</td></tr> <tr><td colspan="5" style="padding: 5px;">SUBTOTAL (2)</td><td style="text-align: right; padding: 5px;">\$ 0</td></tr> </tbody> </table> <p>** or number previously paid, if greater; for Reissues, see above</p>	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	101	740	201	370	Utility filing fee	740	106	330	206	165	Design filing fee		107	510	207	255	Plant filing fee		108	740	208	370	Reissue filing fee		114	160	214	80	Provisional filing fee		SUBTOTAL (1)					\$ 740	Total Claims	Extra	Fee from Below	Fee Paid	14	- 20** =	x 18/9 =	0	Independent Claims	2	3** =	x 84/42 = 0	Multiple Dependent			= 280/140	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	103	18	203	9	Claims in excess of 20	102	84	202	42	Independent claims in excess of 3	104	280	204	140	Multiple dependent claim, if not paid	109	84	209	42	**Reissue independent claims over original patent	110	18	210	9	**Reissue claims in excess of 20 and over original patent	SUBTOTAL (2)					\$ 0																																																																																																			
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																												
101	740	201	370	Utility filing fee	740																																																																																																																																																																																												
106	330	206	165	Design filing fee																																																																																																																																																																																													
107	510	207	255	Plant filing fee																																																																																																																																																																																													
108	740	208	370	Reissue filing fee																																																																																																																																																																																													
114	160	214	80	Provisional filing fee																																																																																																																																																																																													
SUBTOTAL (1)					\$ 740																																																																																																																																																																																												
Total Claims	Extra	Fee from Below	Fee Paid																																																																																																																																																																																														
14	- 20** =	x 18/9 =	0																																																																																																																																																																																														
Independent Claims	2	3** =	x 84/42 = 0																																																																																																																																																																																														
Multiple Dependent			= 280/140																																																																																																																																																																																														
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description																																																																																																																																																																																													
103	18	203	9	Claims in excess of 20																																																																																																																																																																																													
102	84	202	42	Independent claims in excess of 3																																																																																																																																																																																													
104	280	204	140	Multiple dependent claim, if not paid																																																																																																																																																																																													
109	84	209	42	**Reissue independent claims over original patent																																																																																																																																																																																													
110	18	210	9	**Reissue claims in excess of 20 and over original patent																																																																																																																																																																																													
SUBTOTAL (2)					\$ 0																																																																																																																																																																																												

SUBMITTED BY		Complete (if applicable)
Typed or Printed Name	Mark L. Mollon	Reg. No. 31,123
Signature		Deposit Account
	Date February 14, 2002	User ID

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

c:\2072\15842.sb17.doc

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)		First Named Inventor	Jack L. Auflick et al.
		Title	Track Access Management for Large Playlists in a Vehicle Multimedia Player
		Atty Docket Number	201-0924 (15842)

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires the publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

February 14, 2002

Date



Signature

Mark L. Mollon

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). This form is estimated to take 6 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

c:\2072\15842.sb35.doc